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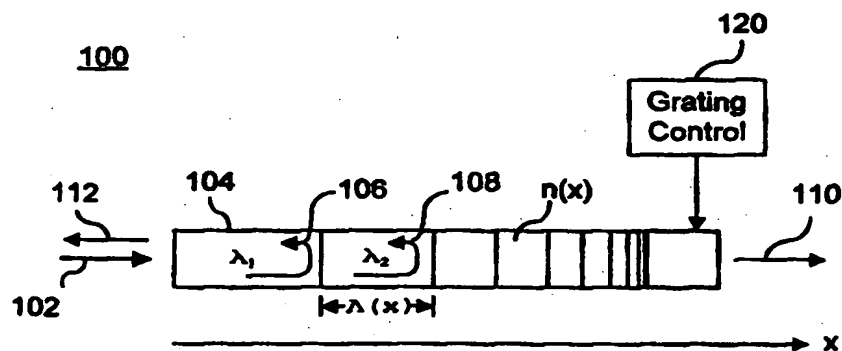
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(54) Title: TUNABLE NONLINEARLY CHIRPED GRATING

(57) Abstract

A nonlinearly chirped fiber grating (100) for achieving tunable dispersion compensation, chirp reduction in directly modulated diode lasers (102), and optical pulse manipulation. A dynamical dispersion compensation mechanism can be implemented in a fiber communication system based on such a nonlinearly chirped fiber grating (100).



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## TUNABLE NONLINEARLY CHIRPED GRATING

### FIELD OF THE INVENTION

The present invention relates to optical dispersion compensation and optical pulse manipulation, and more specifically, to devices and systems having an optical grating capable of causing wavelength-dependent delays.

### BACKGROUND OF THE INVENTION

Many optical materials exhibit different responses to optical waves of different wavelengths. One well-known phenomenon is chromatic dispersion, often simply referred to as "dispersion", in which the index of the refraction of a medium is dependent on the wavelength of an optical wave. Dispersion often causes optical waves of different wavelengths to travel at different speeds in a given medium, since the speed of light is dependent on the index of refraction.

Dispersion of optical materials in general relates nonlinearly to the wavelength. Group velocity is often used to characterize the dispersion. Group velocity is related to the derivative with respect to frequency of the propagation constant of an optical wave in a medium. The first-order group velocity dispersion is typically expressed as a change in light propagation time over a unit length of fiber with respect to a change in light wavelength. For conventional fibers in telecommunication, the first-order group velocity dispersion is on the order of 10ps/nm/km at 1550 nm.

In many applications, an optical signal is composed of spectral components of different wavelengths. For example, a single-frequency optical carrier may be modulated in order to impose information on the carrier. Such modulation generates modulation sidebands at

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different frequencies from the carrier frequency. For another example, optical pulses, which are widely used in optical data processing and communication applications, contain spectral components in a certain spectral range.

- 5 The dispersion effect may cause adverse effects on the signal due to the different delays on the different spectral components.

Dispersion in particular presents obstacles to increasing system data rates and transmission distances  
10 without signal repeaters in either single-channel or wavelength-division-multiplexed ("WDM") fiber communication systems. Data transmission rates up to 10 Gbit/s or higher may be needed in order to meet the increasing demand in the marketplace. Dispersion can be  
15 accumulated over distance to induce pulse broadening or spread. Two adjacent pulses in a pulse train may overlap with each other at a high data rate. Such pulse overlapping can often cause errors in data transmission.

The dispersion effect in fiber systems can be  
20 significantly reduced by using an optical carrier of a narrow linewidth at or near a zero-dispersion wavelength of the fiber. For example, fiber systems operating near 1.3  $\mu\text{m}$  with single-mode DFB lasers as light sources may be used for this purpose.

25 Alternatively, the dispersion may be compensated by using dispersion compensating elements. This can be accomplished by, for example, implementing a dispersion-compensating fiber ("DCF") to introduce dispersion with an opposite sign to the accumulated dispersion in a fiber  
30 link. Typically, a DCF may be many times more dispersive than a conventional fiber (e.g., a factor of 5 to 10). One DCF-compensated system is described by Nuyts et al. in "Performance improvement of 10Gb/s standard fiber transmission systems by using SPM effect in the  
35 dispersion compensated fiber," *IEEE Photon. Tech. Lett.*

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8, pp. 1406-1408 (1996).

Another approach to compensating for dispersion uses a fiber grating with linearly chirped grating periods. See, for example, Loh et al., "10 Gb/s transmission over 700 km of standard single-mode fiber with 10-cm chirped fiber grating compensator and duobinary transmitter," *IEEE Photon. Tech. Lett.* 8, 1258-1260 (1996). A spectral component in an optical signal with a wavelength satisfying a Bragg phase-matching condition is reflected back from the fiber grating. Other spectral components are transmitted through the grating. The Bragg phase-matching conditions at different positions in the fiber grating are differentiated by chirping the grating period.

The resonant wavelength of the fiber grating changes with the position. As the grating period increases or decreases along a direction in the fiber grating, the resonant wavelength increases or decreases accordingly. Therefore, different spectral components in an optical signal are reflected back at different locations and have different delays. Such wavelength-dependent delays can be used to negate the accumulated dispersion in a fiber link.

A fiber grating with a uniform period may also be used to produce different delays in the reflected waves at different locations for compensating the dispersion. Ohn et al. report a use of 21 stretching piezo segments to cause nonuniform stretching in a uniform fiber grating in "Dispersion variable fibre Bragg grating using a piezoelectric stack," *Electron. Lett.* 32, pp. 2000-2001 (1996). Since segments of the fiber grating can be stretched by different amounts, different delays for different spectral components at different positions in the fiber can be produced to compensate for dispersion.

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SUMMARY OF THE INVENTION

The present disclosure describes a nonlinearly chirped grating having a mechanism to adjust the Bragg phase-matching conditions. The dispersion of such a nonlinearly chirped grating can be dynamically varied to produce a desired dispersion with desired relative delays among different spectral components in a controllable manner.

One embodiment of the invention includes a grating that has a nonlinearly chirped grating period. The grating is made of a mechanically stretchable or compressible material. A transducer is engaged to the grating to uniformly change the overall length of the grating along the direction of the grating vector in response to a control electrical signal. Compression or expansion of the grating provides a spectral shift in the operating spectral range. The nonlinear chirping causes the relative delays of different spectral components at different wavelengths to be changed with the overall length of the grating. The transducer can be controlled to compress or stretch the overall length of the grating in order to produce a tunable dispersion profile.

One implementation of the transducer includes a piezoelectric element. An external voltage control signal is applied to the piezoelectric element to vary the length of the nonlinearly chirped grating.

Another implementation uses a magnetostrictive element to change the grating length according to an external control magnetic field.

Another embodiment of the invention includes a grating with a uniform grating period and a nonlinearly chirped effective index of refraction along the grating direction. The grating material is responsive to a spatially-varying external control field such as an electric field, an electromagnetic radiation field, or a

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temperature field along the grating direction so that the nonlinear chirp of the effective index of refraction can be adjusted to change relative delays of different spectral components and to produce a tunable dispersion profile.

Yet another embodiment is a grating that has a nonlinearly chirped grating period and an externally adjustable spatial profile in the effective index of refraction along the grating direction. The overall length and the effective index of refraction of the grating can be individually adjusted to change the relative delays of different spectral components and to shift the operating spectral range of the grating.

However constructed, the frequency response of a nonlinearly chirped grating may be tuned by using an acoustic wave propagating along the grating direction. The acoustic wave induces additional modulation sidebands in the frequency response of the grating. Such modulation sidebands are displaced from the baseband by a frequency spacing that is dependent on the frequency of the acoustic wave. Therefore, an adjustable dispersion can be achieved by tuning the frequency of the acoustic wave.

One aspect of the invention is dispersion compensation. A nonlinear chirped grating in accordance with the invention can be disposed at a fiber link to reduce the effects of the dispersion. The dispersion produced by such a grating is actively tunable to compensate for varying dispersion in a fiber link which includes a dispersion analyzer and a feedback control. This tunability can be advantageously used in a dynamic fiber network in which communication traffic patterns may change over time. For example, a given channel may be originated at different locations in the network from time to time so that the accumulated dispersion of that

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given channel in a specific fiber link is a variable. Therefore, the dispersion compensation required for that fiber link needs to change accordingly. Also, the operating conditions for point-to-point transmission may  
5 also change, resulting in variations in the accumulated dispersion for signals in a fixed fiber link.

Another aspect of the invention is chirp cancellation in directly modulated semiconductor lasers. A nonlinear chirped grating in accordance with the  
10 invention can be used to produce a complementary chirp in a laser pulse with respect to the modulation-induced chirp to effect a chirp cancellation. The tunability of the grating allows for chirp cancellation for different modulation signals which cause different frequency chirp  
15 profiles in the laser output.

A further aspect of the invention is adjustable pulse shaping in which the nonlinear chirped dispersion is used to compress or stretch an optical pulse to a desired pulse profile.

20 These and other embodiments, aspects and advantages of the invention will become more apparent in light of the following detailed description, including the accompanying drawings and appended claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25 FIG. 1 is a diagram illustrating a nonlinear chirped grating in a wave-guiding element.

FIG. 2 is a diagram showing a grating having a nonlinearly chirped grating period.

FIG. 3A is a chart showing shift of reflective  
30 spectrum of a nonlinearly chirped fiber grating due to fiber stretching.

FIG. 3B is a chart showing relative time delay of reflected signals at two different wavelengths due to fiber stretching.



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FIG. 4 is a diagram of one implementation of the system in FIG. 2 using a piezoelectric element.

FIG. 5 is a schematic illustration of one approach to form a nonlinearly chirped grating in a photosensitive fiber.

FIG. 6A is a chart showing measured wavelength shift in the reflected signals due to fiber stretching in the system of FIG. 4.

FIG. 6B is a chart showing measured shift of the reflection spectrum in the system of FIG. 4.

FIG. 6C is a chart showing nonlinear time delays of reflected signals as a function of wavelengths that are measured in the fiber grating of FIG. 4.

FIG. 6D is a diagram of a modulated nonlinearly chirped fiber grating.

FIG. 6E is a chart showing a modulated voltage signal used in FIG. 6D.

FIG. 6F is a chart showing reflected output signals as a function of time at different modulation frequencies.

FIG. 7 is a diagram showing a nonlinearly chirped grating based on electro-optic effects.

FIG. 8 is a diagram showing a photosensitive nonlinearly chirped grating.

FIG. 9 is a diagram showing a nonlinearly chirped grating having an acoustic tuning element.

FIGs. 10A and 10B are block diagrams of two dynamically adjustable dispersion compensation systems.

FIGs. 10C, 10D, and 10E are diagrams showing three exemplary implementations of the dispersion analyzer in FIGs. 10A and 10B.

FIG. 11A is a block diagram of a fiber communication system based on the configuration in FIG. 10B using a nonlinearly chirped fiber grating.

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FIGs. 11B, 11C, and 11D are charts showing measured results of the system in FIG. 11A.

FIG. 12 is a diagram illustrating a semiconductor laser have a nonlinearly chirped waveguide grating for  
5 reducing modulation-induced frequency chirps in the laser output.

FIG. 13 is a diagram showing a pulse shaping system based on a nonlinearly chirped grating.

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DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 shows a nonlinearly chirped grating 100 in accordance with the invention. The grating 100 is formed of an optical wave-guiding element 104 such as a fiber or waveguide. The grating period,  $\Lambda(x)$ , and the effective index of refraction in the grating,  $n(x)$ , are at least partly dependent on the position,  $x$ , along the wave-guiding element 104. An input optical signal 102 enters the grating 104 at a nearly normal incidence to produce a reflected signal 112 and a transmitted signal 110.

A spectral component of a wavelength  $\lambda$  in the input optical signal 102 is reflected back at position  $x$  when the wavelength  $\lambda$ , the grating period  $\Lambda(x)$ , and the effective index of refraction  $n(x)$  satisfy a Bragg phase-matching condition:

$$2n(x)\Lambda(x) = \lambda.$$

Therefore, the wavelength  $\lambda$  of the reflected wave varies with the position  $x$  according to the grating parameter  $n(x)\Lambda(x)$ . Different spectral components of different wavelengths are reflected at different locations and have different phase delays. For example, when the grating parameter  $n(x)\Lambda(x)$  increases with  $x$ , spectral components at short wavelengths satisfying the phase-matching condition are reflected back at locations before the components at long wavelengths. A spectral component in the input signal 102 that does not meet the above Bragg phase-matching condition transmits through the wave-guiding element 104 as indicated by a signal 110. The grating parameter  $n(x)\Lambda(x)$  determines the spectral range of the reflected signal from the grating 100. This forms the basis of dispersion compensation and pulse shaping.

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The grating 100 is generally configured to have a nonlinearly chirped grating parameter  $n(x)\Lambda(x)$ , i.e.,  $n(x)\Lambda(x)$  changes nonlinearly with the position  $x$ . This may be achieved by a nonlinearly chirped  $n(x)$ ,  $\Lambda(x)$  or a combination of both.

The grating 100 can be adjusted to change the reflection spectrum and the relative delays in the different reflected spectral components. A grating control 120 is implemented to control the grating parameter  $n(x)\Lambda(x)$  by varying at least one of  $n(x)$  and  $\Lambda(x)$  of the grating 100. This provides a dynamically tunable reflection spectral range and relative delays of different reflected spectral components.

FIG. 2 shows one implementation 200 of the nonlinearly chirped grating 100. A fiber grating 204 has a constant effective index of refraction  $n(x) = n$  and a nonlinearly chirped grating period  $\Lambda(x)$ . Thus, a phase-matched wavelength changes with the position  $x$  according to  $\Lambda(x)$  only. A fiber stretcher 220 is engaged to the fiber grating 204 to change the overall length of the grating 204. This provides a control in the reflection spectrum and the relative delays in different spectral components.

When the fiber grating 204 is stretched, each grating pitch increases. Accordingly, a phase-matched wavelength at each grating position increases. Therefore, the reflection spectrum shifts towards longer wavelengths. This effect is illustrated in FIG. 3A in which curves 302 and 304 respectively represent the reflection spectral profiles before and after the fiber stretching.

Since the grating period  $\Lambda(x)$  is nonlinearly chirped, the delay of the reflected spectral components also has a nonlinear dependence on the position  $x$ . In addition, a change in the overall fiber length produces

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different changes in  $\Lambda(x)$  at different positions along the fiber grating 204. This produces different relative delays for different wavelengths that satisfy the Bragg phase-matching condition. Such an effect can be used to  
5 produce tunable dispersion compensation profiles.

FIG. 3B is a chart of the relative time delays of two wavelengths before and after the fiber stretching. Curve 306 represents the time delay as a function of wavelength before the fiber stretching. Two different  
10 wavelengths  $\lambda_1$  and  $\lambda_2$  have a relative time delay  $\Delta t$  with respect to each other. After the fiber grating is stretched, the time delays of both wavelengths increase (curve 308) and the relative time delay  $\Delta t'$  is in general different from  $\Delta t$ . In the example shown, the relative  
15 time delay  $\Delta t'$  increases.

Referring to FIG. 2, any device capable of stretching the grating 204 may be used as the stretcher 220. For example, a piezoelectric element or a magnetostrictive element may be used to produce a control  
20 over the length of the grating 204 according to an external electrical voltage or a magnetic field. Piezoelectric and magnetostrictive transducers are well known and will not be described here.

A technique of using a magnetostrictive rod to stretch a fiber in a non-uniform magnetic field is disclosed by Cruz et al. in "Fibre Bragg gratings tuned and chirped using magnetic fields," Electronics Letters, Vol. 33(3), pp. 235-236 (1997), which is incorporated herein by reference. This technique can be used in the  
30 embodiment 200 of FIG. 2 to adjust the grating length. In particular, since the fiber grating 204 is nonlinearly chirped, a uniform magnetic field, rather than a gradient magnetic field, can be used to uniformly stretch the fiber grating 204 for tuning the dispersion response.

35 FIG. 4 shows an implementation of the embodiment

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200 by using a piezoelectric element. Two ends of a piezo element 410 are respectively fixed at two sides of a nonlinearly chirped fiber grating 406 by, for example, using an adhesive such as epoxy. A voltage source 412  
5 supplies a control voltage to the piezo element 410 to change the length of the piezo which in turn couples the strain to the fiber grating 204. An optical circulator 404 is used to couple an input optical signal 402 to the fiber grating 406 and to route the reflected signal 408.  
10 An optional optical isolator may be placed at the other end of the fiber grating 406 to reject any optical feedback signal.

The nonlinearly-chirped fiber grating 204 may be made by a near-UV technology that uses an interference  
15 pattern produced by a phase mask with a light beam at 300 nm. The absorption of light in the fiber core at the wavelength of 300 nm is sufficiently small to avoid damage to the core-cladding interface in the fiber. A photosensitive fiber (e.g., the type manufactured by QPS  
20 Technology) is first soaked in a high-pressure molecular hydrogen chamber under about 250 atm pressure at  $-60^{\circ}\text{C}$  for approximately 2 days to give the core an estimated hydrogen concentration of about 2.5 mol.%.

FIG. 5 illustrates the formation of the  
25 nonlinearly-chirped grating 204 in a hydrogen-loaded photosensitive fiber 500. A light beam 502 from a UV argon laser operating on a group of spectral lines near 300 nm is focused through a 50-mm long linearly-chirped phase mask 504 onto the fiber core at an intensity of  
30 about  $200\text{ W/cm}^2$ . Two first-order diffraction beams 502a and 502b interfere with each other to form an interference pattern in the immediate vicinity of the phase mask 504 where the fiber core is located. Each 1-mm spot on the fiber 500 is exposed for time periods  
35 ranging from 5 to 100 sec. After each exposure, the

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fiber 500 and mask 504 are translated by 1 mm relative to the UV light beam 502 and the process is repeated. The variable exposure time induces the nonlinear chirp as shown in the insert of FIG. 5.

5        FIG. 6A shows the measured wavelength shift in the reflected signal 408 as a function of the control voltage applied to the piezo element 410. FIG. 6B shows the reflection spectrum shifts due to fiber stretching for  
10        voltages on the piezo element 410 at 500 V and 1000 V, respectively. When a control voltage of about 1000 V is applied to the piezo element 410, the reflected band is shifted by about 1.5 nm, and the wavelength shift is linear with respect to the voltage. The bandwidth is about 1 nm and the reflectivity varies from 85% to 100%,  
15        i.e. by approximately 0.7 dB. The dispersion varies nonlinearly and smoothly from 300 ps/nm to 1000 ps/nm. While increasing the applied voltages, the time delay curves shift to longer wavelengths without distorting the smooth shape. Therefore, for a given transmitted channel  
20        wavelength, the channel will encounter a different dispersion compensation corresponding to different stretching of the nonlinearly-chirped fiber grating.

FIG. 6C further shows measured nonlinear time delays of reflected signals as a function of wavelengths  
25        when the fiber grating is stretched by different amounts under different control voltages.

The length of the piezoelectric element 410 can be modulated to provide dispersion switching. FIG. 6D shows a system using the fiber grating 400 to produce a signal  
30        with a modulated dispersion. A modulation signal generator 610 modulates the piezo control 412 so that the length of the fiber grating 406 is modulated. A bandpass interference filter with a bandwidth of 0.3 nm is used to filter the reflected output from the fiber grating 406.  
35        A photodetector 630 receives the transmitted signal from

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the filter 620. An oscilloscope 640 is used to view the time response of the signal from the photodetector 630.

FIG. 6E shows the modulated control voltage applied to the piezo element 410. Measurements at 5 modulation frequencies at 10 Hz, 50 Hz, 100 Hz, and 250 Hz are shown in FIG. 6F. The piezoelectric element 410 may be modulated up to about 100 Hz using 0-500 Volts modulation. The upper limit of the frequency response is limited by the characteristics of the PZT. With this 10 dynamic response, dispersion compensation in less than 10 ms can be achieved in circuit-switched optical networks.

The nonlinearly chirped grating 100 in FIG. 1 can also be implemented by using a wave-guiding element that has an index of refraction dependent on an external 15 electrical field. One example of such wave-guiding element is a dielectric waveguide or fiber exhibiting electro-optic effects.  $\text{LiNbO}_3$  is a commonly used electro-optic material. FIG. 7 shows a grating 700 with a nonlinearly chirped grating period in such a wave-guiding 20 element 704. The effective index of refraction  $n(x)$  of the wave-guiding element 704 varies with an electrical field. A series of pairs of electrodes 712, 714 are disposed along the wave-guiding element 704 to produce adjustable local fields. An electrical-field control 25 module 710 controls the spatial variation of the field to produce a desired nonlinear chirped  $n(x)$  and to adjust the dispersion.

FIG. 8 shows another embodiment 800 that uses an electromagnetic radiation to control the spatial 30 variation of the refractive index  $n(x)$  of a wave-guiding element 804. The wave-guiding element 804 responds to the radiation field 802 and has a field-dependent index  $n(x)$ . For example, photosensitive materials such photorefractive crystals and polymers may be used to 35 implement the present invention. The nonlinear chirping



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of the index  $n(x)$  is formed by applying an electromagnetic radiation field 820 with a nonlinear intensity distribution along the grating. A radiation generator 810 is configured to control the intensity variation  $I(x)$  of the field 820. In the optical frequency range, the radiation generator 810 may be a laser.

It is further contemplated that an acoustic wave can be used to modulate the response of any of the above nonlinearly chirped gratings for tuning the output frequency. FIG. 9 shows a nonlinearly chirped grating 900 with such an acoustic tuning mechanism. An acoustic wave generator 910 produces a tunable acoustic wave 912. An acoustic wave coupler 914, such as an acoustic focusing horn, couples the acoustic wave into the grating 104.

In operation, the acoustic wave interacts with the grating and induces two additional narrow-band peaks on either side of the base band produced by the Bragg resonance condition. The frequency components in either sideband has the same relative delays as in the baseband but are shifted from the baseband in frequency by a specified amount. This frequency shift is dependent on the frequency of the acoustic wave. Thus, the frequency of a sideband is adjustable by changing the frequency of the acoustic wave. Liu et al. disclose such a technique in "Improved Efficiency Narrow-Band Acoustooptic Tunable Reflector using Fibre Bragg grating," post deadline paper PD4, Annual Meeting of Optical Society of America, "Bragg Gratings, Photosensitivity, and Poling in Glass Fibers and Waveguides: Applications and Fundamentals," October 26-28, 1997, Williamsburg, VA., which is incorporated herein by reference.

The nonlinearly chirped fiber gratings in accordance with the invention are tunable in two aspects.

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First, the frequency profile of the reflected and the transmitted signals can be shifted as desired. Second, the relative delays of different frequency components in an input pulse can be adjusted in a controllable manner.

5 The first aspect of tunability is useful in multi-wavelength photonic systems such as wavelength-division multiplexed fiber communications systems. The second aspect of the tunability can be used for dynamic dispersion compensation in many dispersive optical  
10 systems, especially in fiber communication systems.

FIG. 10A shows a fiber system 1000 having a tunable dispersion-compensating element 1020 in accordance with the invention. The tunable dispersion element 1020 may be a nonlinearly chirped grating. A  
15 dispersive fiber system 1010 produces an optical signal 1012 with a certain amount of dispersion. A dispersion analyzer 1030 measures the amount and the sign of the accumulated dispersion in the signal 1012. The tunable dispersion-compensating element 1020 uses this  
20 information to adjust the dispersion compensation in such a way that the dispersion in the signal 1012 is compensated. As the dispersion in the dispersive fiber system 1010 changes, the tunable dispersion-compensating element 1020 adjusts accordingly in response to the  
25 dispersion change to maintain the desired dispersion compensation in output 1030.

FIG. 10B is a block diagram for a fiber communication system 1001 that uses a nonlinearly chirped fiber grating 1020a to implement the system 1000 in  
30 FIG. 10A. A grating control 1040 adjusts the grating parameter  $n(x)\Lambda(x)$  in accordance with the control command from the dispersion analyzer 1030 to maintain the output 1030 properly compensated. The grating control 1040 may be any or a combination of the techniques shown in  
35 FIGS. 2, 7, and 8.

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The dispersion analyzer 1030 may be implemented in a number of ways. FIG. 10C shows a phase modulation to amplitude modulation dispersion detector. A phase modulator 1051 is disposed in the signal path to modulate the phase of the signal prior to transmission through a dispersive fiber 1050. An envelop detection circuit 1060 measures the converted amplitude modulation, whose amplitude corresponds to the relative accumulated dispersion, in the received signal by a photodetector 1070. More specifically, the polarity of dispersion can be detected by including the total dispersion of the group velocity dispersion in the fiber and the self-phase modulation caused by the fiber nonlinearity. See, Tomizawa et. al, "Nonlinear influence on PM-AM conversion measurement of group velocity dispersion in optical fiber," Electronics Letters, Vol. 30(17), pp. 1434-1435(1994). The amplitude of the converted amplitude modulation is then used to determine the accumulated dispersion and to generate a control signal to the tunable dispersion compensation element.

FIG. 10D shows another implementation of the dispersion analyzer 1030. An electro-optic modulator 1052 is disposed in the signal path to modulate the amplitude of the signal prior to transmission through the dispersive fiber 1050. The relative dispersion value can be determined by monitoring the amplitude of the clock component extracted from the signal after a square wave detection. This is done by a clock component monitor 1061. Since the dispersion broadens the signal pulses and reduces the amplitude of the signal, the magnitude of the clock component also decreases according to the broadening. Therefore, by adjusting the dispersion compensator to maximize the amplitude of the clock amplitude, the accumulated dispersion can be reduced or canceled.

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The dispersion analyzer 1030 can further be implemented by directly measuring the bit error rate of the signal passing through a dispersive fiber. This is shown in FIG. 10E. Since the dispersion can broaden the data pulses, the bit error rate ("BER") is degraded. A bit error rate testing device 1062 measures the bit error rate and extracts a relative information of the accumulated dispersion. With a feedback signal to the tunable dispersion compensator, the dispersion compensation can be adjusted to reduce or minimize the bit error rate.

FIG. 11A further shows a specific implementation of the dynamic fiber system 1001 in FIG. 10B. An electro-optic modulator imposes data on a laser beam at 10 Gbit/s. In addition, a phase modulator modulates the phase of the optical signal prior to transmission. A tunable dispersion compensator 1120 is based on a nonlinearly chirped fiber grating 400 as in FIG. 4. The signal path passing through the fiber loops 1110a, 1110b and acoustooptic switch 1116b is more dispersive than the signal path passing through the acoustooptic switch 1116a. Er-doped fiber amplifiers 1108a-c are used to maintain the signal strength above a specified level. The dispersion in the signal 1119 is detected by a dispersion analyzer 1122 by splitting a small portion of the signal 1119 (e.g., 10%). The majority of the signal 1119 is fed to the fiber grating 400 which produces a dispersion-compensated output 1120c.

The dispersion analyzer 1122 uses a PM-to-AM converter for measuring the dispersion. Due to the different group velocity dispersions of the different spectral components in the signal, the phase modulation is converted to amplitude modulation after the signal has traveled through a certain distance of fiber path. The accumulated dispersion is measured by the dispersion

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analyzer 1122. The dispersion analyzer 1122 further generates a corresponding control signal to the tunable fiber grating 400.

A bit error rate test 1130 is used to measure the  
5 bit error rate for evaluating the performance of the dispersion compensation module 1120. The output 1120c from the module 1120 is amplified and filtered by a bandpass filter 1126 with a bandwidth of 0.3 nm.

FIG. 11B shows measured results of the bit error  
10 rate as a function of the signal power in dBm. FIG. 11C shows how the control signal for the PZT tuning is generated in response to the dispersion levels of the input signals. FIG. 11D shows the measured eye diagrams indicating the significant improvements in the BER due to  
15 the dynamic dispersion compensation.

The above described nonlinearly chirped gratings may also be used in other applications such as chirp cancellation in directly modulated lasers and pulse shaping.

20 FIG. 12 shows an integrated semiconductor laser module 1200 having a nonlinearly chirped waveguide grating 1230 for reducing the modulation chirp. A laser diode 1210 is formed on a substrate 1202. A modulation signal 1212 is applied to the laser diode 1210 to  
25 modulate the driving current. Such direct modulation causes frequency chirps in the output of the laser diode 1210. A nonlinearly chirped waveguide grating 1230 is formed on the substrate 1202 to produce a dispersion to reduce the frequency chirp.

30 As the modulation frequency of the modulation signal 1212 changes, the chirp in the laser output also changes. The relation between the modulation frequency and the chirp in the laser output can be determined. Based on this relation, a control circuit 1250 can be  
35 configured to generate a corresponding dispersion control

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signal 1252 to adjust the dispersion of the grating 1230.

FIG. 13 further shows a block diagram of a system 1300 for pulse shaping. A nonlinearly chirped grating 1330 can produce a variable dispersion to an input pulse 5 1312 from a laser 1310 so that the output 1340 from the grating 1330 has a desired pulse shape.

Although the present invention has been described in detail with reference to a few embodiments, various modifications and enhancements may be made without 10 departing from the scope and spirit of the following claims.

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What is claimed is:

1. An optical device, comprising:  
a wave-guiding element operable to transport optical energy along an optic axis and having an effective index of refraction which is different at different positions along said optic axis; and  
an optical perturbation region formed in said wave-guiding element and configured to have a period along said optic axis,  
wherein said period and said effective index of refraction effect a phase-matching condition such that an optical wave that is reflected from said optical perturbation region has a specified wavelength, said specified wavelength having a nonlinear dependence on a position along said optic axis.
2. A device as in claim 1, wherein said period nonlinearly varies along said optic axis.
3. A device as in claim 1, wherein said wave-guiding element includes a fiber.
4. A device as in claim 1, wherein said wave-guiding includes an optical waveguide.
5. A device as in claim 1, further comprising a transducer engaged to at least two parts on said wave-guiding element, said transducer operating to change a length of said wave-guiding element.
6. A device as in claim 5, wherein said transducer includes a piezoelectric element operable to change said length of said wave-guiding element in response to a control voltage.

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7. A device as in claim 5, wherein said transducer includes a magnetostrictive element operable to change said length of said wave-guiding element in response to a control magnetic field.

5 8. A device as in claim 7, wherein said control magnetic field has a uniform field distribution along said optic axis of said wave-guiding element.

9. A device as in claim 1, wherein said effective index of refraction changes along said optic  
10 axis.

10. A device as in claim 9, wherein said wave-guiding element is responsive to a control electrical field and said effective index of refraction changes with said control electrical field.

15 11. A device as in claim 10, wherein said wave-guiding element includes an electro-optic material.

12. A device as in claim 9, wherein said wave-guiding element is responsive to an electromagnetic radiation field and said effective index of refraction  
20 changes with said electromagnetic radiation field.

13. A device as in claim 12, wherein said wave-guiding element includes a photosensitive material and said electromagnetic radiation field has an intensity variation along said optic axis of said wave-guiding  
25 element.

14. A device as in claim 13, wherein said a photosensitive material is a photorefractive material.



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15. A device as in claim 1, further comprising an acoustic wave generator disposed relative to said wave-guiding element and configured to produce a frequency-tunable acoustic wave along said optic axis of said wave-guiding element, wherein said acoustic wave alters a frequency response of said optical perturbation region.

16. An optical device, comprising:  
a fiber grating formed in a fiber, said fiber grating having a grating period that changes along said fiber in a nonlinear manner to effect different delays for optical waves of different frequencies that are Bragg phase-matched in said fiber grating; and  
a fiber stretcher engaged to said fiber grating and configured to change a length of said fiber grating to produce a change in relative delays of said optical waves at said different frequencies.

17. A device as in claim 16, further comprising a grating control unit, communicating with said fiber stretcher to control said length of said fiber grating.

18. A device as in claim 17, wherein said fiber stretcher includes a piezoelectric element operable to produce a specified amount of length change in said fiber grating in response to a control voltage and said grating control unit is configured to produce said control voltage.

19. A device as in claim 17, wherein said fiber stretcher includes a magnetostrictive element operable to change said length of said fiber grating in response to a control magnetic field and said grating control unit is configured to produce said control magnetic field.

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20. A device as in claim 16, wherein said fiber grating is configured to have an index of refraction that changes with a position along said fiber.

21. A device as in claim 17, wherein said fiber  
5 grating is configured to have an index of refraction that changes with a control electrical field produced by said grating control unit.

22. A device as in claim 21, wherein said fiber grating includes an electro-optic material.

10 23. A device as in claim 21, wherein said control electrical field varies with a position along said fiber.

24. A device as in claim 17, wherein said fiber grating is configured to have an index of refraction that changes with an electromagnetic radiation field produced  
15 by said grating control unit.

25. A device as in claim 24, wherein said fiber grating includes a photosensitive material.

26. A device as in claim 25, wherein said photosensitive material is a photorefractive material.

20 27. A device as in claim 24, wherein said electromagnetic radiation field has an intensity variation along said fiber.

28. A device as in claim 16, further comprising an acoustic wave generator disposed relative to said  
25 fiber grating and configured to produce a frequency-tunable acoustic wave along said fiber, wherein said acoustic wave alters a frequency response of said fiber

- 25 -

grating.

29. An optical system capable of dynamically adjusting dispersion compensation, comprising:

5 a dispersion analyzer, operating to receive a portion of an optical signal and determine information about dispersion in said optical signal; and

a dispersion compensating element connected to communicate with said dispersion analyzer and configured to receive at least a portion of said optical  
10 signal and to tune a dispersion compensation in response to a control signal from said dispersion analyzer.

30. A system as in claim 29, wherein said dispersion compensating element includes:

a wave-guiding element operable to transport  
15 optical energy along an optic axis and having an effective index of refraction which is a function of a position along said optic axis; and

a grating formed in said wave-guiding element and configured to have a variable grating period along  
20 said optic axis,

wherein said variable grating period and said effective index of refraction effect a Bragg phase-matching condition so that a reflected optical wave from said grating has a specified wavelength that has a  
25 nonlinear dependence on a position along said optic axis.

31. A system as in claim 29, wherein said dispersion analyzer includes a converting element that converts a phase-modulated optical signal into an amplitude-modulated signal.

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32. A system as in claim 29, wherein said dispersion analyzer includes a device operable to directly measure a bit error rate in said optical signal to indicate said dispersion, said dispersion analyzer producing said  
5 control signal to adjust said tunable dispersion compensation of said dispersion compensating element such that said bit error rate is reduced.

33. A system as in claim 29, wherein said dispersion analyzer includes a clock monitor device that  
10 measures a clock amplitude of said optical signal and produces said control signal such that said dispersion compensating element adjusts said dispersion compensation to increase said clock amplitude.

34. A system as in claim 29, wherein said optical  
15 signal includes a wavelength-division multiplexed signal.

35. An optical device, comprising:  
a semiconductor laser;  
an electrical power supply connected to said semiconductor laser to provide a modulated driving  
20 current which produces a modulated laser output from said laser;  
a waveguide grating having a variable grating period and an effective index of refraction to effect a Bragg phase-matching condition so that a reflected  
25 optical wave from said waveguide grating has a specified wavelength that has a nonlinear dependence on a position along said waveguide grating;  
a grating control unit connected to communicate with said power supply and configured to  
30 control a dispersion characteristics of said waveguide grating to reduce a frequency chirp in said modulated laser output.

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36. A device as in claim 35, wherein laser and said waveguide grating are formed on a semiconductor substrate.

37. A method for operating a fiber system,  
5 comprising:

routing an optical signal that is transmitted through a dispersive signal path to a tunable nonlinearly chirped fiber grating;

determining a dispersion in said optical  
10 signal; and

adjusting said tunable fiber grating according to said polarity and amount of dispersion to reduce dispersion in said optical signal.

38. A method as in claim 37, wherein said fiber  
15 grating has a grating period that changes along said fiber in a nonlinear manner to effect different delays for optical waves of different frequencies that are Bragg phase-matched in said fiber grating.

39. A method as in claim 37, wherein said fiber  
20 grating has an index of refraction that changes along said fiber in a nonlinear manner to effect different delays for optical waves of different frequencies that are Bragg phase-matched in said fiber grating.

40. A method as in claim 37, wherein adjusting  
25 said tunable fiber grating is performed by stretching said fiber grating.

41. A method as in claim 37, wherein adjusting  
said tunable fiber grating is performed by tuning a  
frequency of an acoustic wave that is launched into said  
30 fiber grating.

- 28 -

42. A method as in claim 37, wherein adjusting said tunable fiber grating is performed by adjusting an electrical field along said fiber grating.

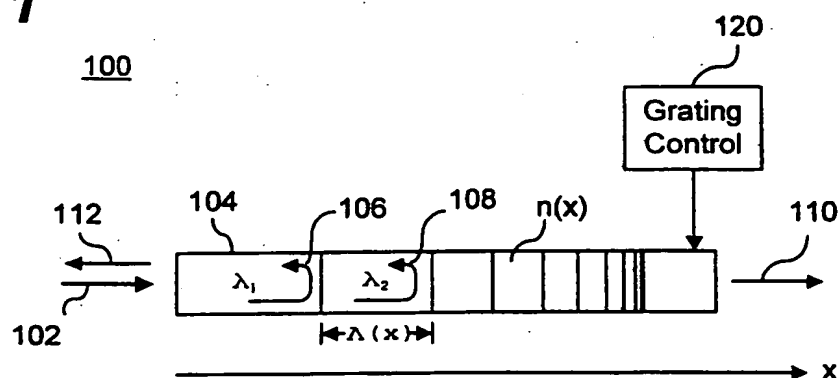
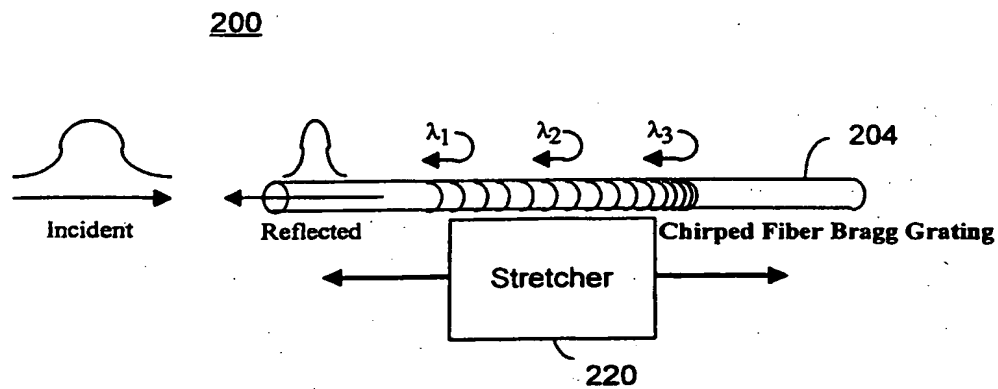
43. A method as in claim 37, wherein adjusting  
5 said tunable fiber grating is performed by adjusting an intensity of an electromagnetic radiation field along said fiber grating.

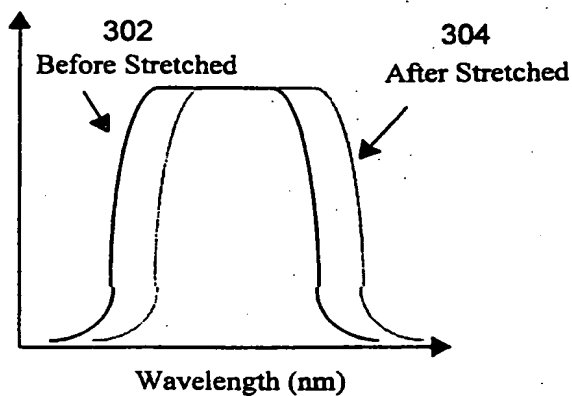
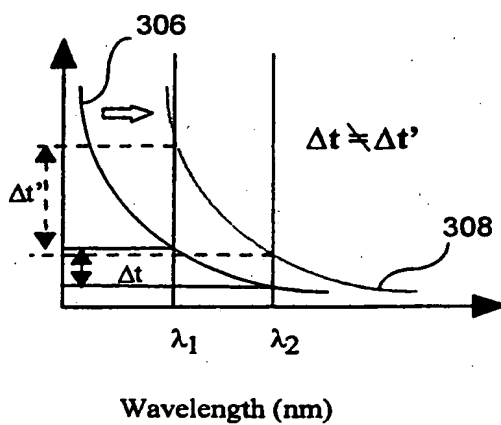
44. A method as in claim 37, wherein adjusting  
10 said tunable fiber grating is performed by adjusting a magnetic field along said fiber grating.

45. A method as in claim 37, wherein determining a dispersion in said optical signal is performed by directly measuring a bit error rate in said optical signal.

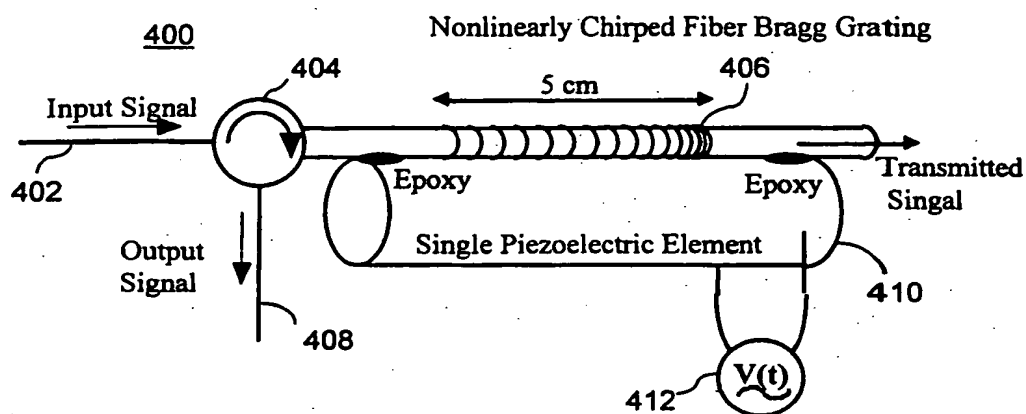
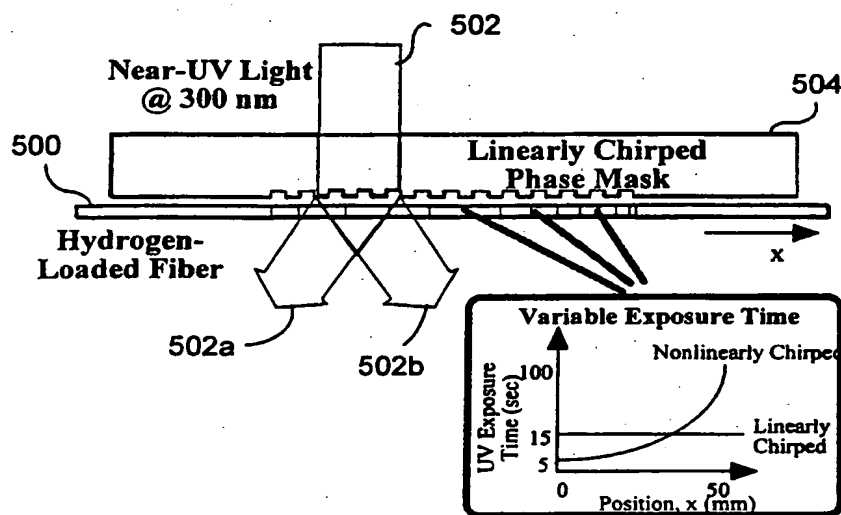
15 46. A method as in claim 37, wherein determining a dispersion in said optical signal is performed by measuring an amplitude-modulated signal that is converted from a phase modulation in said optical signal.

20 47. A method as in claim 37, wherein determining a dispersion in said optical signal is performed by measuring a clock amplitude.

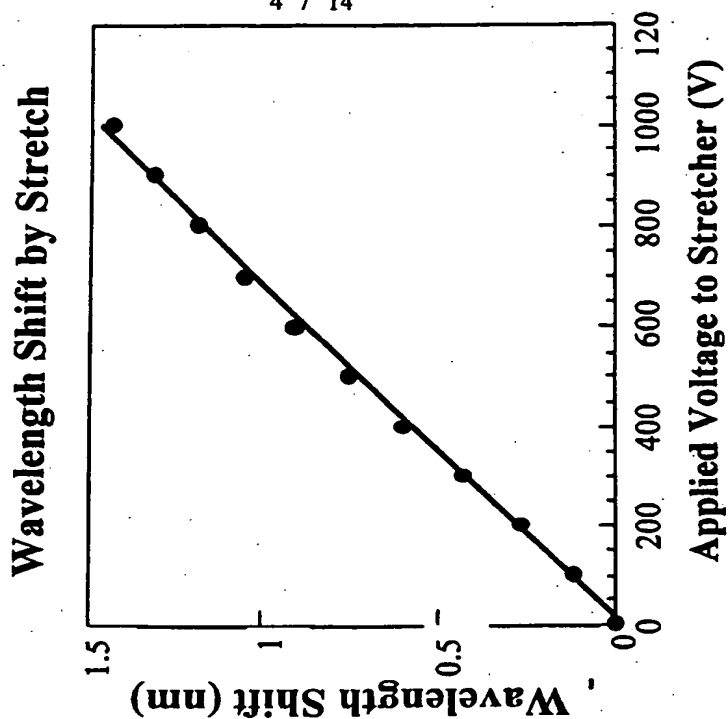
**FIG. 1****FIG. 2**

**FIG. 3A****FIG. 3B**

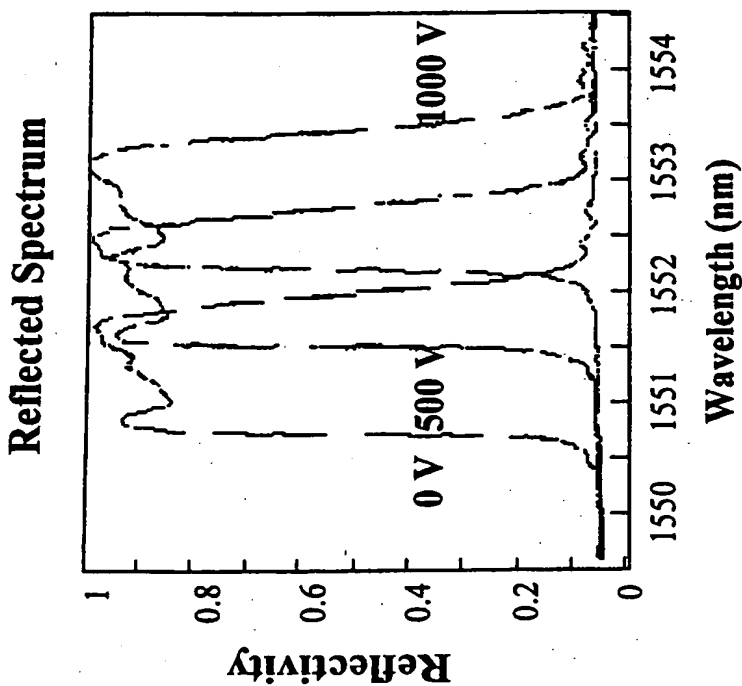


**FIG. 4****FIG. 5**

**FIG. 6A**



**FIG. 6B**



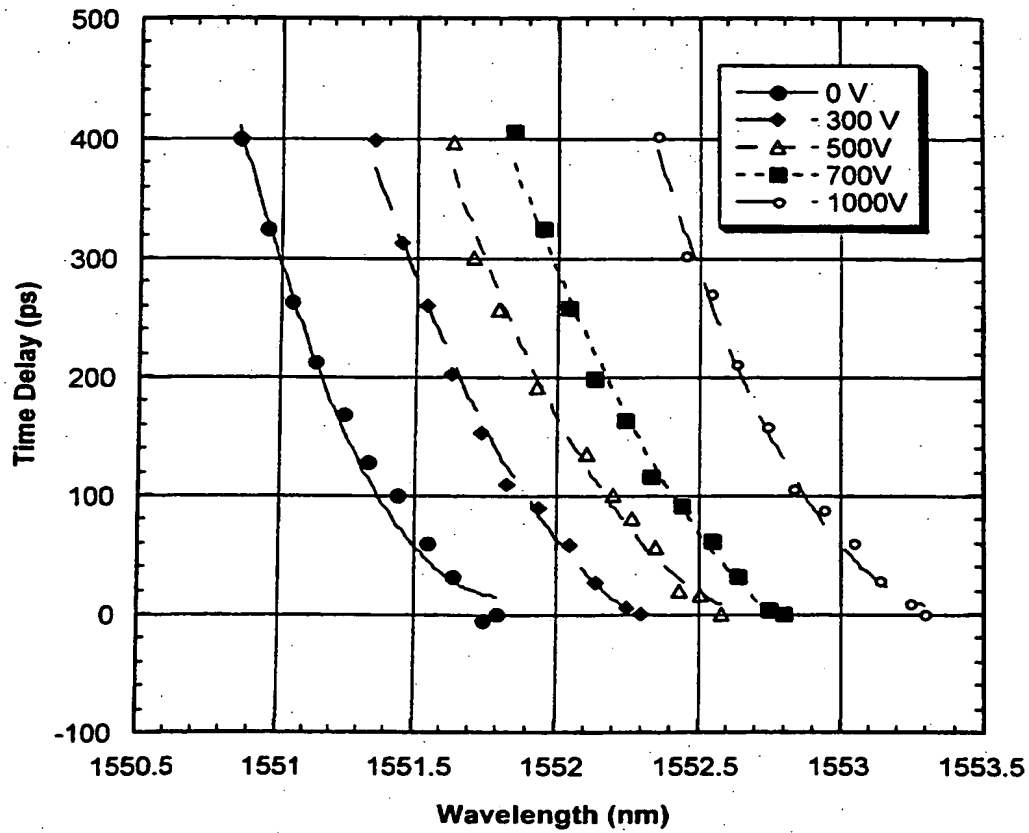
**FIG. 6C**

FIG. 6E

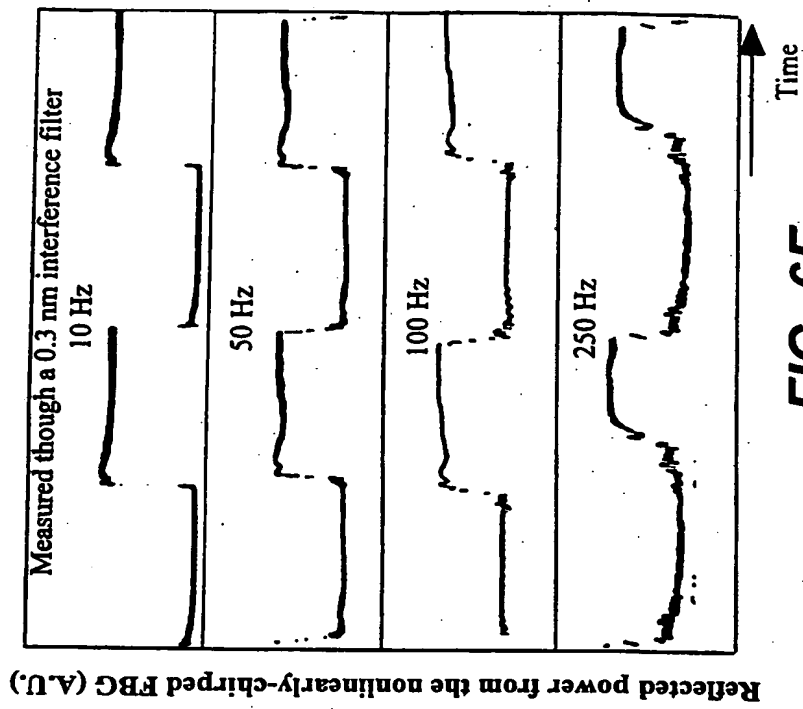
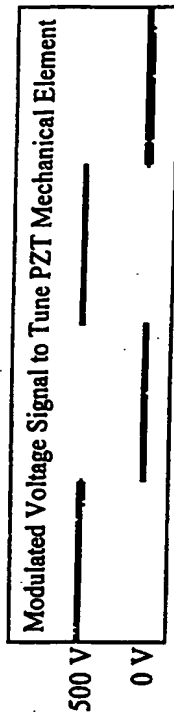
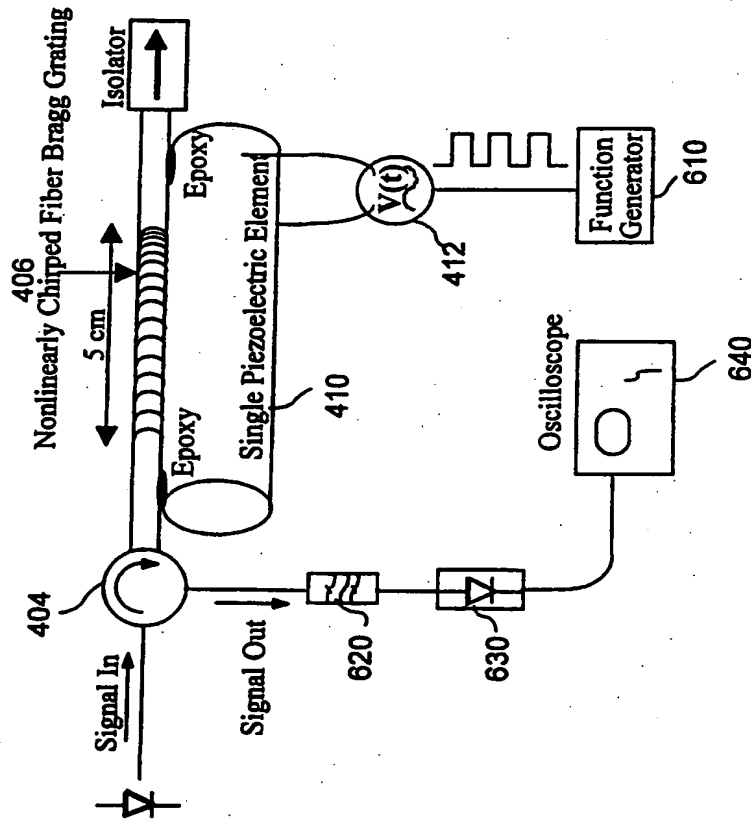
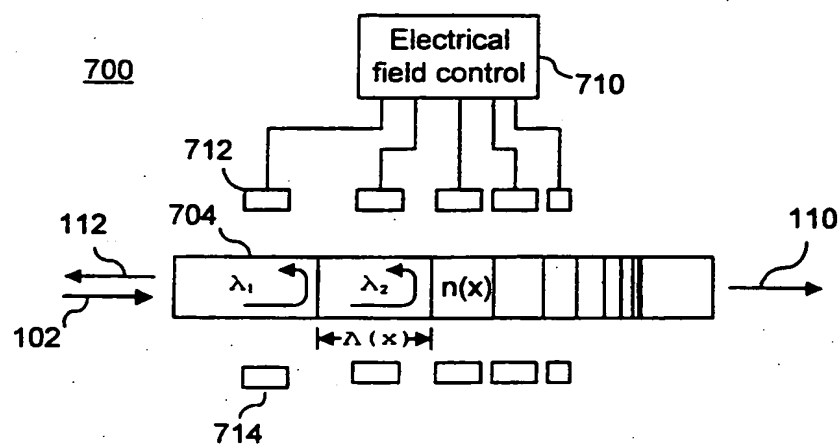
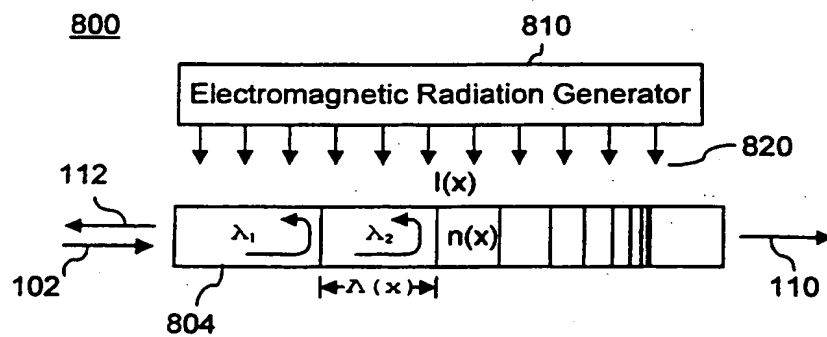


FIG. 6F

FIG. 6D



**FIG. 7****FIG. 8**

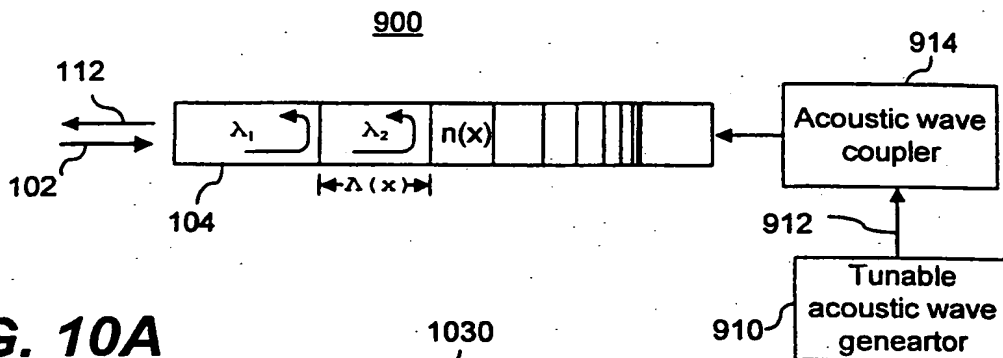
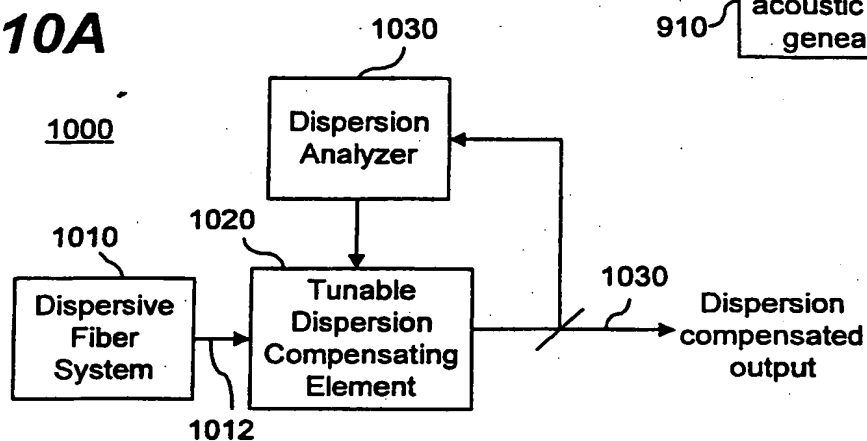
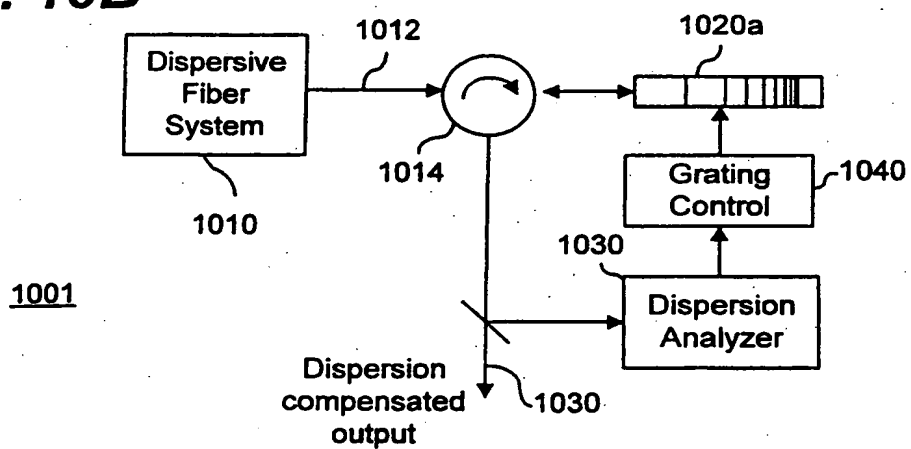
**FIG. 9****FIG. 10A****FIG. 10B**

FIG. 10C

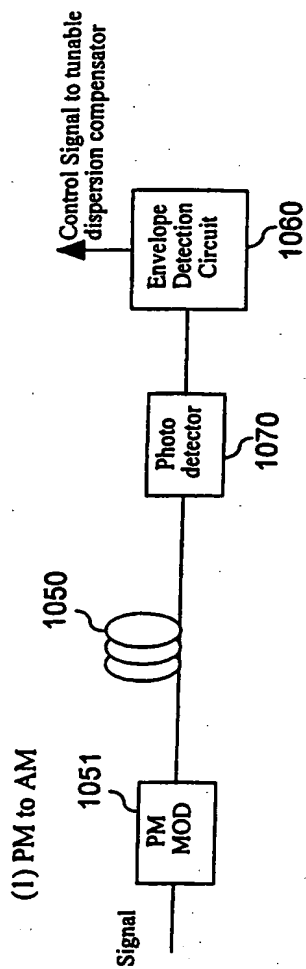


FIG. 10D

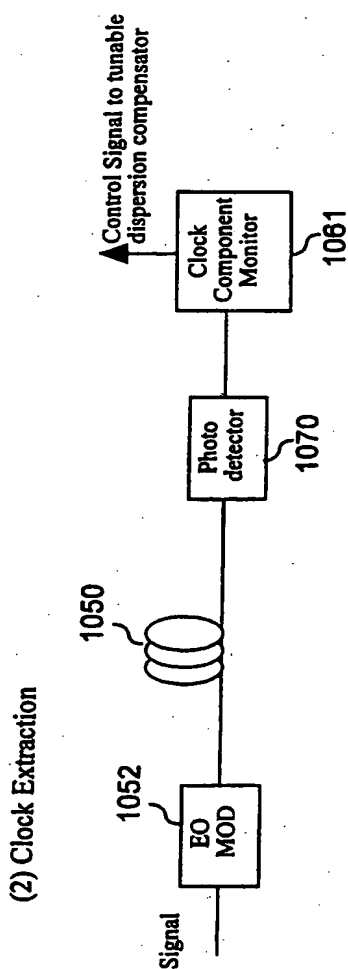


FIG. 10E

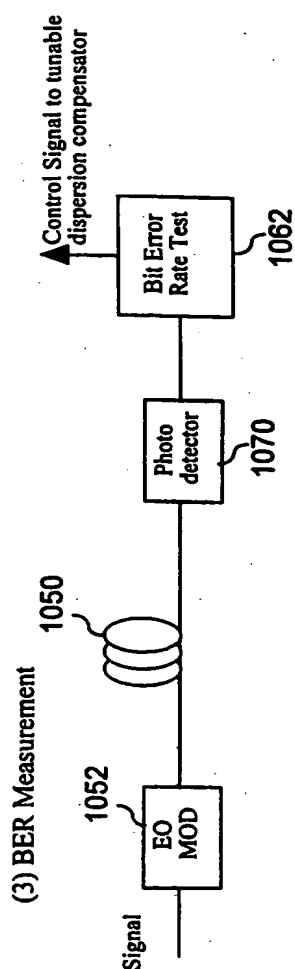
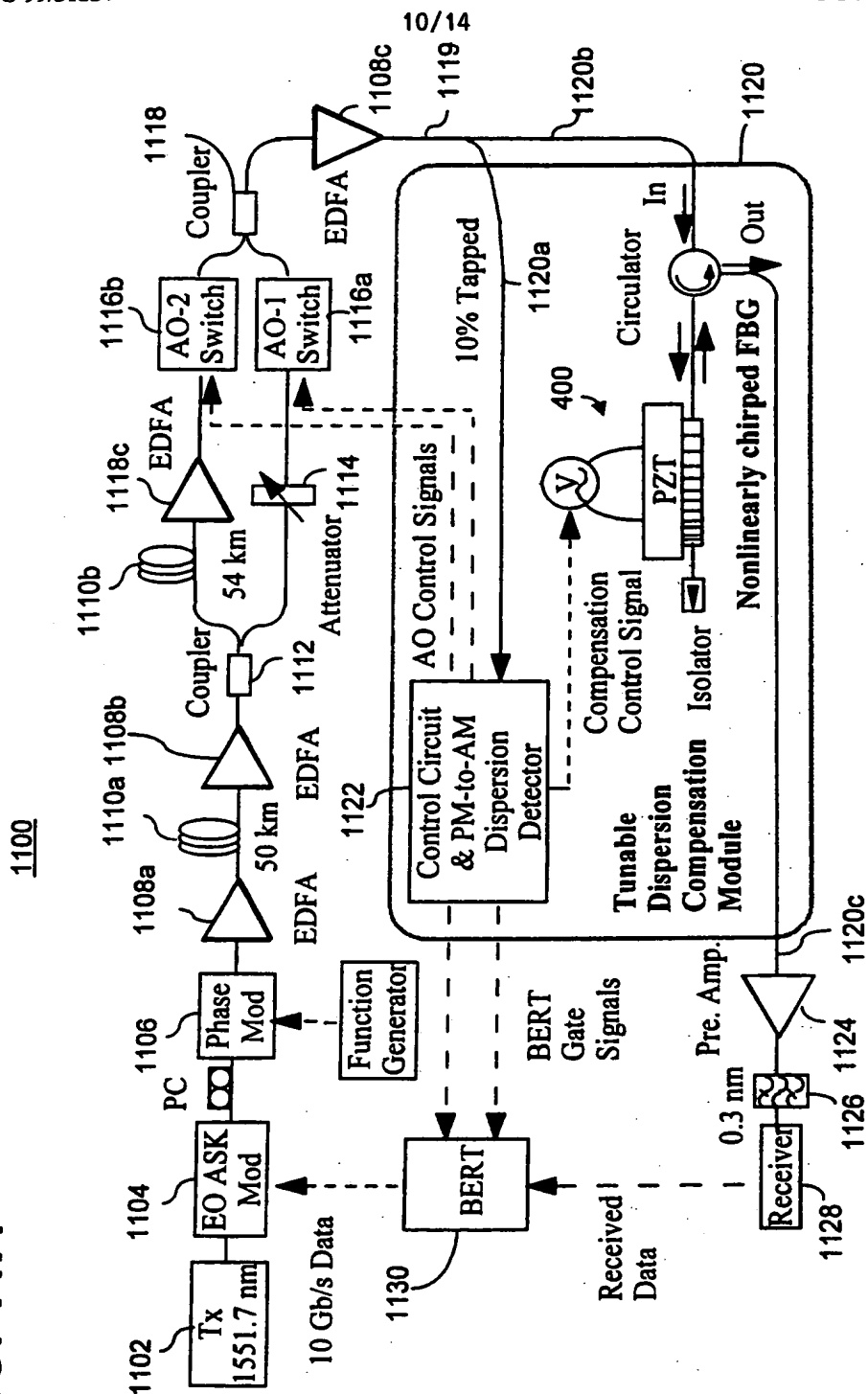


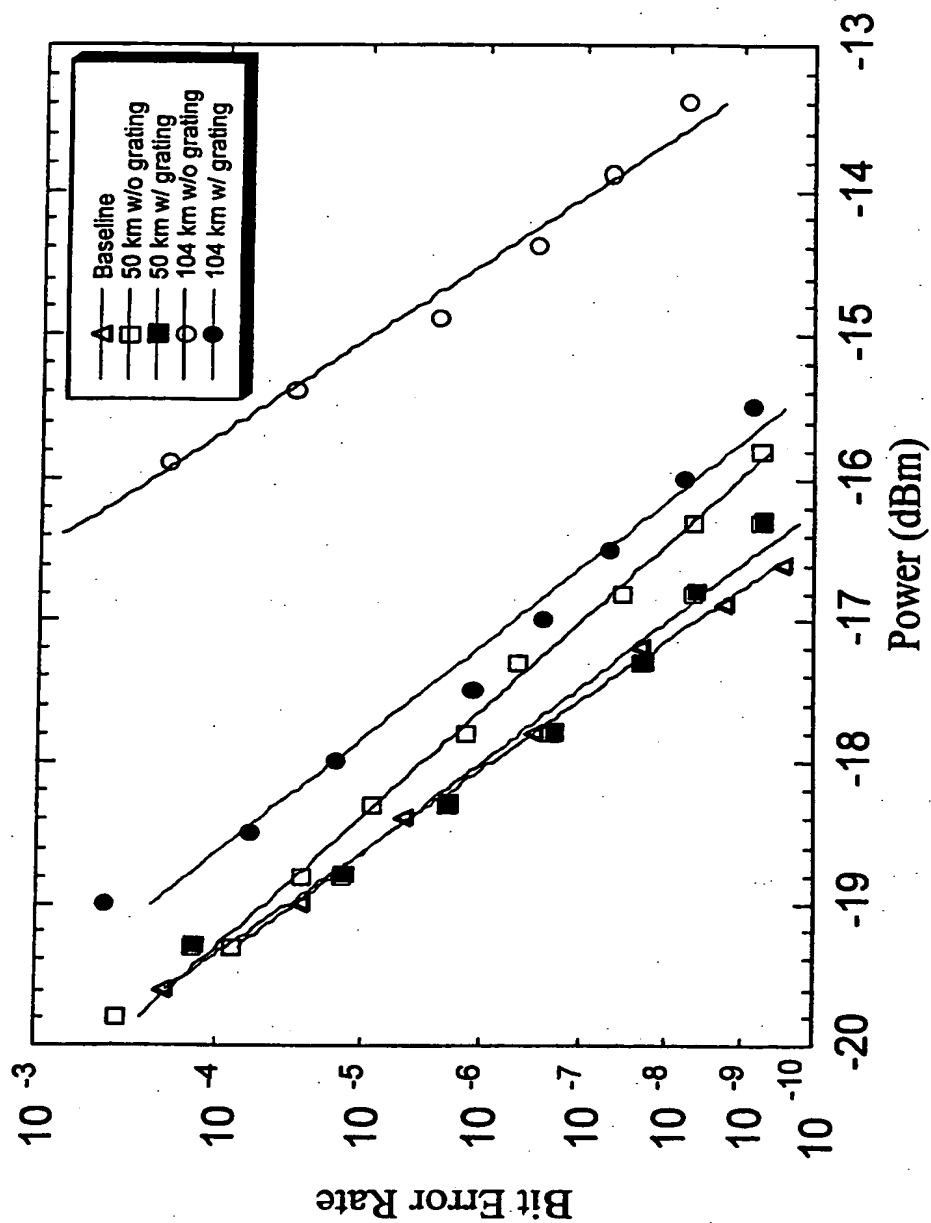
FIG. 11A



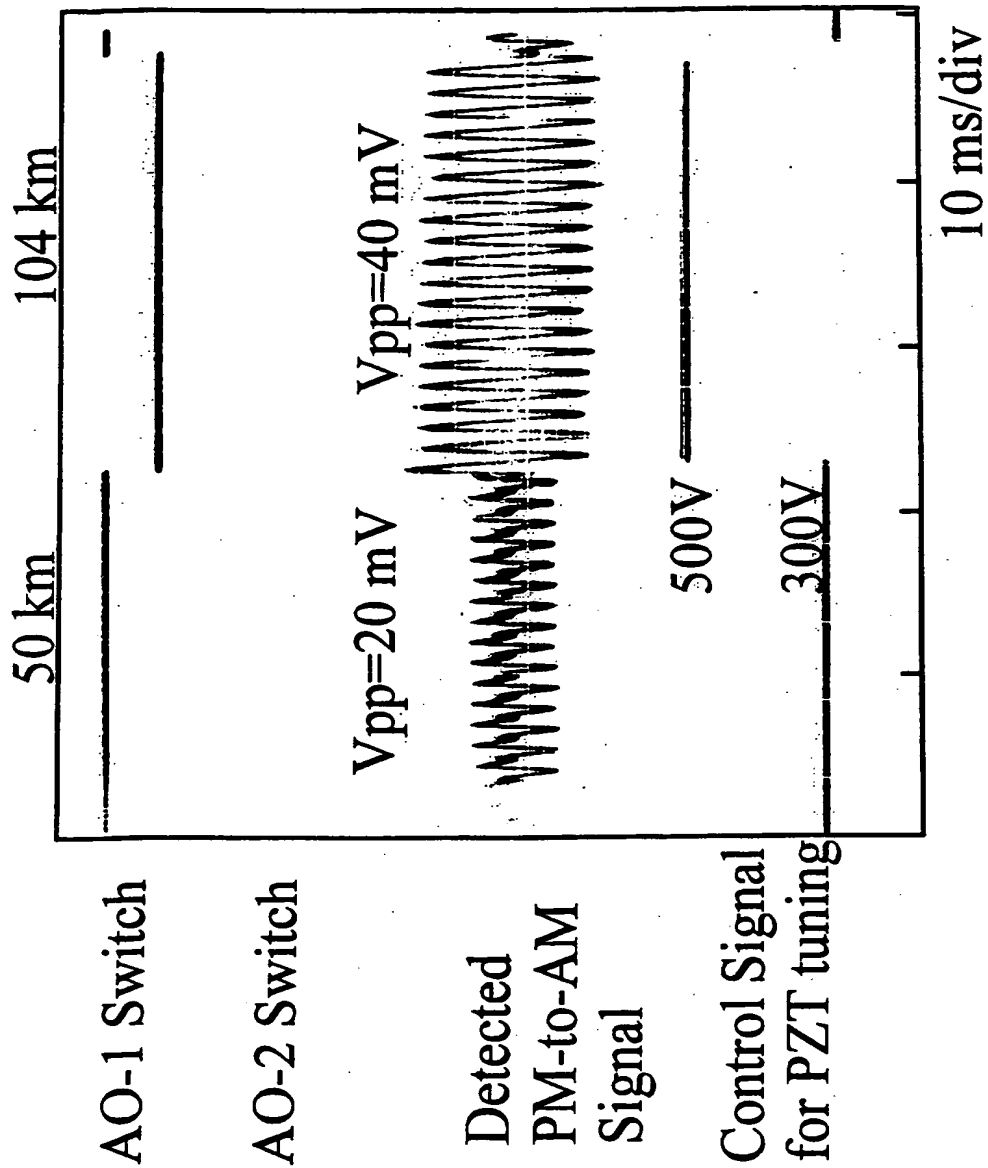


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FIG. 11B



**FIG. 11C**



**FIG. 11D**

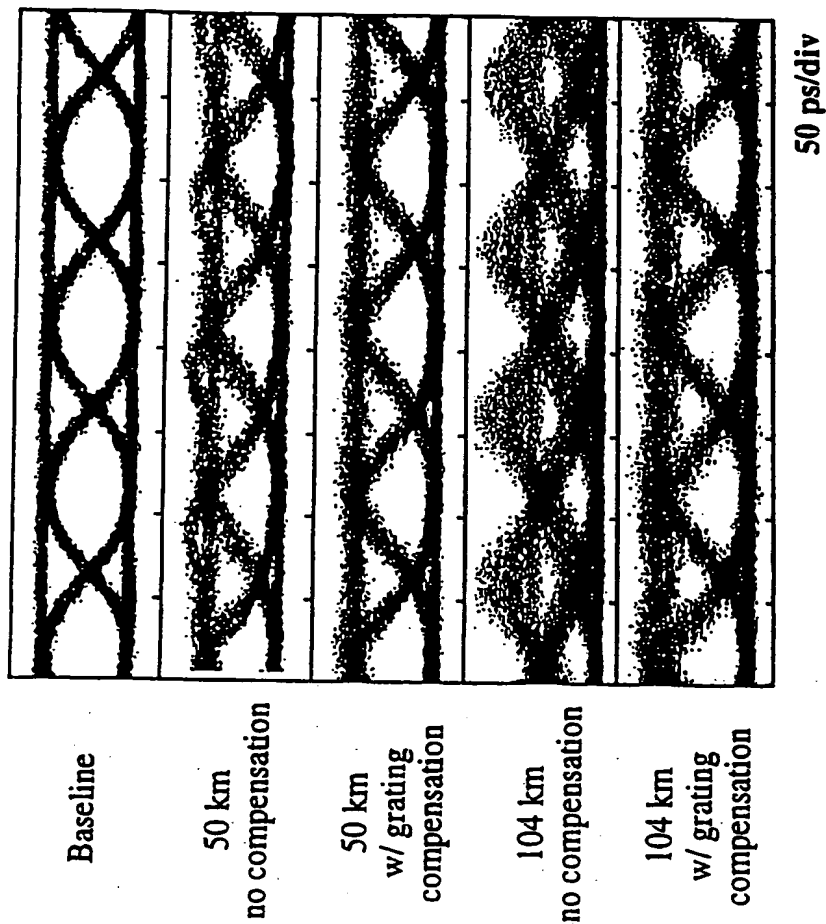


FIG. 12

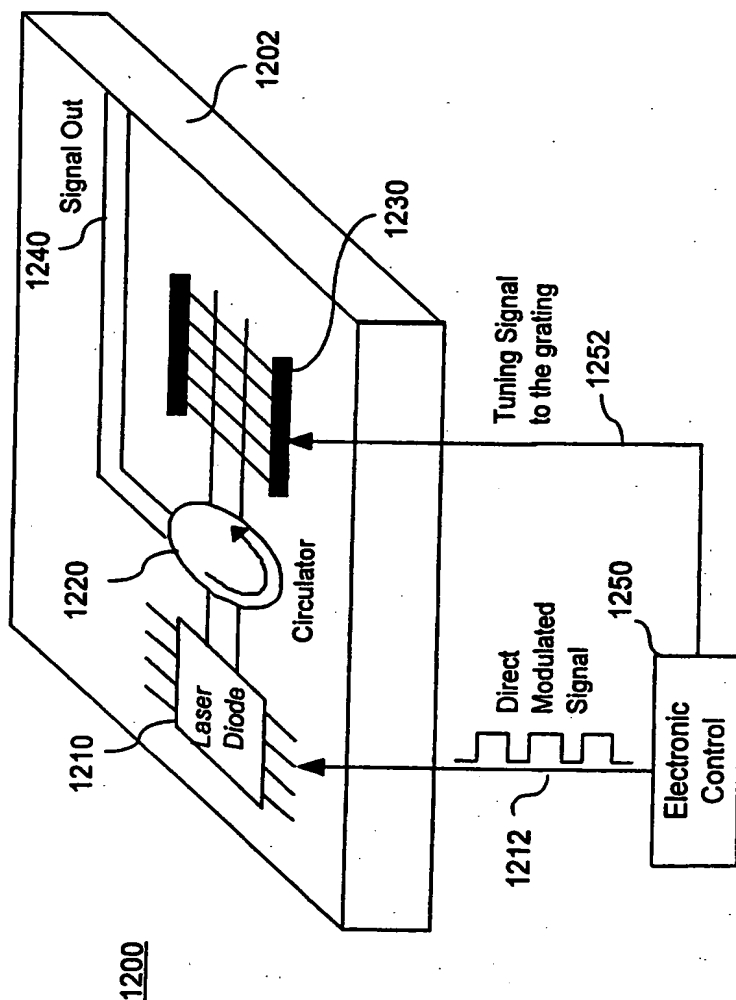
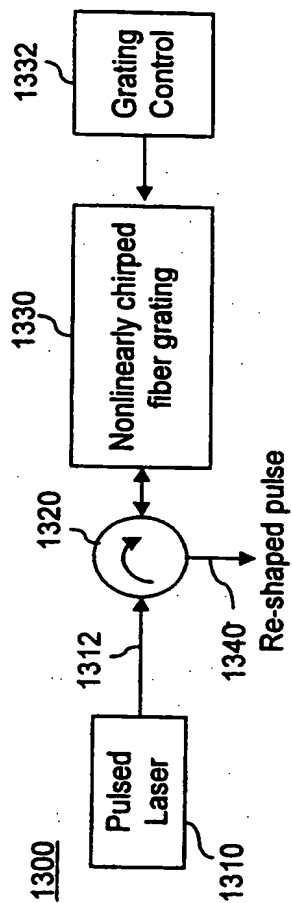


FIG. 13



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/26640

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : G02B 6/34; G01F 1/01; H01S 3/30

US CL : 385/37, 39, 6, 8; 372/6, 102; 359/290

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 385/37, 39, 6, 8; 372/6, 102; 359/290

385/38, 40-43, 1-5, 7, 9-10; 372/27, 64, 96; 359/188

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,511,083 A (D'Amato et al.) 23 April 1996 (23-04-96)	1-47
Y	US 5,675,674 A (WEIS) 07 OCTOBER 1997 (07-10-97) SEE FIGURE AND COL.9, LINES 1-54	1-47
Y,P	US 5,808,779 A (WEIS) 15 SEPTEMBER 1998 (15-09-98) SEE FIGURE 1 AND COL. 9, LINES 5-62	1-47

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

28 FEBRUARY 1999

Date of mailing of the international search report

31 MAR 1999

Name and mailing address of the ISA/US  
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/26640

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

s (waveguid? and optical perturbation);  
s (fiber grating or fibre grating) and (fiber stretcher# or piezoelectric);  
s semiconductor laser# and waveguid? and grating and grating control unit.